



Sveriges lantbruksuniversitet  
Swedish University of Agricultural Sciences

Department of Animal Breeding and Genetics

# Low heritability-high variance controversy for dairy cattle disease traits

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## ABSTRACT

Traits with low heritability estimates such as health and fertility are often assumed to have low genetic variance. However, the heritability is a ratio of additive genetic variance over all variance, and thus, the genetic variation of these traits may only be proportionally small. Health in dairy cattle is important, not only in its own right but also for human health and animal welfare. The coefficient of variation (CV) can be used to express genetic variance without units, allowing for comparison between traits regardless of their measurement units, in the same way heritability can be used. The CV is the standard deviation of the trait divided by the mean. In addition, the use of within-family variances may allow for more accurate investigation of genetic variance, because when within-family variances are large, between family variance (which is the basis for heritability estimation) is low. However, for international genetic and genomic evaluations, phenotypic measurements are not always available.

Therefore, the aim of this study was to investigate the usefulness of using CV for observations based on estimated or relative breeding values (EBV/RBV), and to investigate whether the low heritability seen for health traits, is related to low genetic variance, as defined by CV. Data for Brown Swiss cattle from 6 countries available from the Interbull evaluation of April 2014 were used and CV was calculated for within sire family variances and for the population as a whole based on international estimated breeding values (IEBV) using SAS 9.3. The breeding values were standardised differently for different countries, and were separated accordingly. However, there are reasons to believe that the interpretation of the results may be complicated because of this, and thus the validity of the CV for this evaluation may not be justified. If this is ignored for the time being, the following results were found. For all traits, population CVs were larger than sire-family CVs. Traits had either means for breeding values of 100 or 0 depending on the country, but there was no correlation between these two types of means for individual traits. Differences were found between countries for overall mean CV. Differences between different categories of traits (e.g. calving, fertility, production) were found, with production and fertility traits having the lowest overall CV across countries. Within countries, ranking of trait categories were somewhat different, but generally workability and udder health traits had high CVs. No significant correlations were found between the CV of traits and their heritability estimates, except when separating traits by country. This was only significant for Germany-Austria. Overall, the CV is useful for comparing the variance of traits with different measurement units. However, because of the nature of the breeding values in this study, it may not be appropriate to use the CV. Therefore, it is concluded that CV, although useful in itself, is not a good approach when evaluating relative breeding values across countries.

## **INTRODUCTION**

Traits that are related to health often have low heritability estimates and it is often assumed that this means that there is low genetic variance for these traits. Health is an element of fitness and in theory, favourable alleles for fitness traits will be driven to fixation by natural selection and thus the additive genetic variance is expected to be greatly reduced (Cotter et al., 2004, Kruuk et al., 2000). This appears not to be the case in real life however, and could be due to trade-offs with the maintenance of variation for these traits (e.g. antagonistic pleiotropy) (Cotter et al., 2004).

Examples of fitness-related traits are diseases in livestock which affect not only animal productivity and welfare, but also human health (Jovanovic et al., 2009). Animal breeding has focused largely on production traits such as milk, meat and egg production, which has likely affected the livestock population's ability to resist or tolerate disease (Jovanovic et al., 2009).

The hypothesis of antagonistic pleiotropy suggests that, even with strong selection for other traits, e.g. artificial selection for production traits, genetic variation in traits related to fitness should still be maintained (Cotter et al., 2004). If an animal is infected and die before it reaches reproductive age, its direct fitness is eliminated (Cotter et al., 2004). Therefore, maintaining variance for traits related to fitness is important for the population's survival.

## **LITERATURE REVIEW**

### **Disease, fertility and fitness traits**

In general, traits associated with fitness, such as disease and fertility, have low heritability estimates, and selection for traits with low heritability is difficult because the accuracy of selection is limited by the information available in the nucleus (Shook, 1989, Hansen Axelsson et al., 2011). On the other hand, the heritability is the ratio of additive genetic variance over phenotypic variance, and therefore a low heritability may simply be because of the large environmental variance associated with these traits, and if scaled differently, they may actually have larger genetic variance than many production traits (Barton and Keightley, 2002, Philipsson, 1981, Shook, 1989). Indeed, other scientists have arrived at the conclusion that the environmental variance of for example fitness traits is much higher than for morphological and production traits and thus the heritability is lower, but when scaled in relation to the mean and thus removing units of measurement, the genetic variance is higher (Barton and Keightley, 2002, Houle, 1998, Kruuk et al., 2000). In order to quantify true genetic variation in for example resistance to disease, it is necessary to account for the impact of environmental factors (Bishop and Woolliams, 2010). The accuracy of measurements is also important, because when measuring disease resistance, the heritability is often underestimated if the specificity and sensitivity of the diagnostic test is not perfect (Bishop and Woolliams, 2010).

### ***Disease Traits***

In terms of genetic diseases, such as dwarfism and congenital chondrodystrophy, a popular hypothesis suggests that in the population at large, the alleles responsible for common diseases are quite common (Pritchard, 2001). On the contrary, Cirulli and Goldstein (2010) reported that rare and deleterious variants have a strong influence on the risk of common diseases. Sometimes, a

large effect for a rare allele will cause genetic variation to be small, but this is very difficult to examine in practice (Yang et al., 2010). Shook (1989) suggest that a large number of loci are likely involved in disease resistance since there is a large array of disease resistance mechanisms.

Research suggests that disease outbreaks are less likely in populations where there is great heterogeneity (Beldomenico and Begon, 2010). Indeed, the increase in homozygosity with ensuing inbreeding depression supports the idea that heterozygosity is advantageous for traits related to fitness (Charlesworth and Charlesworth, 1999, Thoß et al., 2011). Correlations between individual heterozygosity and fitness-related traits have been seen in many species over several decades, especially with regards to MHC heterozygosity and fitness, but the association may be difficult, if not impossible, to prove using statistical methods because of confounding with genome-wide heterozygosity and inbreeding (Kardos et al., 2014, Thoß et al., 2011).

### ***Fertility traits***

Fertility traits are important components of fitness in dairy cattle because an animal's ability to become pregnant determines its usefulness for breeding, and also for producing milk. The heritability of fertility traits is usually low (1-5%), but several researchers have concluded that the additive genetic variance is quite high (Philipsson, 1981). Philipsson (1981) suggest that better estimates of true genetic variation may be found by studying progeny-group means or estimated breeding values of bulls for daughter fertility.

### ***Fitness traits***

Fitness traits is an overarching term used to describe an animal's ability to pass on its genes to the next generation, and therefore include traits related to health, disease resistance and fertility. These traits are often affected by other classes of traits such as conformation and behavioural traits, and because these have an underlying variation, the additive genetic variance of fitness traits is maintained even at equilibrium (Price and Schluter, 1991). This can be explained by a scenario in which a conformation trait (e.g. size) affects the behaviour of an animal (e.g. feed intake) which may in turn affect a trait related to fitness (e.g. fertility), and this adds 'extra' environmental variance along the chain, which will in turn make the additive genetic variance for the fitness trait proportionally small, resulting in low heritability estimates (Price and Schluter, 1991).

Opposite to what is found for studies looking at heritability, when taking measures standardized by the mean (e.g. the coefficient of variation, CV), traits related to fitness are more variable than morphological traits (Houle, 1992).

### ***Variation of traits***

The degree to which most traits are inherited depends on how much the trait is affected by genetics and how much it is affected by environmental factors (Shook, 1989, Hill and Mulder, 2010, Shen et al., 2012). However, the genetic variation of a trait also plays a role because, intuitively, low variation means that regardless of what parents an individual has it will likely not be much different than other animals in the same environment. Thus, the degree to which an individual resembles its parents more than other individuals is to some degree affected by the genetic variation of a particular trait.

### **Genetic resemblance of relatives**

Segregation and linkage are random processes that affect the number of alleles that are identical by descent (IBD) and determines the resemblance between relatives. The number of IBD alleles is also the basis when estimating additive genetic variance and heritability, as well as dominance variance (Visscher, 2009). This is because in a non-inbred population, relatives share an expected number of alleles IBD and this is expressed as the relationship coefficient, which is used to estimate the additive genetic covariance between a pair of individuals (Visscher et al., 2006). However, due to Mendelian sampling (MS), the proportion of IBD alleles varies greatly, as for any given pair, the actual number of IBD alleles will deviate from the expectation (Hill, 2013, Visscher et al., 2006). In addition, family members may be even more correlated than expected because they share a common environment (Hill, 2013).

Inbreeding changes Mendelian segregation or within-family variance, and the intensity and accuracy of the selection practiced changes the between-family variance (when the infinitesimal model is considered) (Hill, 2010).

### **Within-family variance versus between-family variance**

Traditional heritability estimates are based on between-family variance (Hill, 2013), and will intuitively be high when within-family variance is low. If only within-family differences are used, family effects are fixed, which eliminates errors due to common environment. The within-family variance is  $\sigma_w^2 = (1-A) \sigma_A^2 + \sigma_E^2$ , where A is the numerator relationship within families. Phenotypic variance is  $\sigma_P^2 = A\sigma_A^2 + \sigma_C^2 + \sigma_w^2$ , where  $\sigma_C^2$  is the variance due to common environment. The environmental variance is thus:  $\sigma_E^2 = \sigma_w^2 - \frac{1}{2}\sigma_A^2$  (Hill, 2013). The within-family variance also accounts for half the total genetic variance (Wray et al., 2007), so it would make sense to utilize it. A problem with within-family variance for full sib families is the high confounding between additive and dominance effects (Hill, 2013). However, in general dominance and epistatic effects are ignored when modelling disease because evidence indicates that the genetic variance of disease is mainly additive, even when genes interact (Wray et al., 2007). The standard error for within-family variances is smaller when a few large families are used than when many small families are used for the calculations (Hill, 2013). One of the conclusions by Charlesworth & Charlesworth (1999), was that family data may provide better estimates of fitness than population studies.

### **Coefficient of variation**

The traditional estimation of heritability may not give a fair picture of the genetic variance of a particular trait. The reason for using the coefficient of variation (CV) is so that values from different populations can be compared (regardless of environmental variance), based on the assumption that two variables, X and Y, are actually identical (be that a value or a distribution), except that Y is k times as large as X ( i.e.  $Y=kX$ ) (Lewontin, 1966). It may for example be of interest to compare two populations for the same trait to see if one population is more or less variable than the other, or compare different traits within a population that are measured on different scales, which is where CV becomes convenient. The coefficient of variation is the standard deviation divided by the mean for that population ( $CV = s/\bar{x}$ ) (Sokal and Rohlf, 1981). Thus, the CV eliminates the units for traits and can therefore be used to compare distributions based on different units of measurement (Abdi, 2010). However, the CV is only meaningful for

traits that have a real zero (i.e. 0 is a natural lower limit for the trait) (Abdi, 2010), and this may thus be problematic for looking at breeding values rather than trait values. Houle (1992) argues that standardizing by the mean is implicit when a character or trait is considered to be variable.

The CV can take on a value between 0 and  $\sqrt{N-1}$  for finite samples (where N is the sample size) with non-negative numbers (Abdi, 2010). An F-test can be used to compare variation within and between populations when CVs are <30% by using the squared CVs (Lande, 1977).

It is important to keep in mind that differences in CVs between traits can be due to many other factors that are difficult to quantify, such as mutation or balancing selection for genetic CVs (Houle, 1992). In addition, the extent to which CVs correct for the relationship between the variances and the means determines its importance (Houle, 1992).

The idea to use of the CV for comparing genetic variation between traits of different distributions and populations (Houle, 1992) is based on the convenience of this for phenotypic measurements, where zero is the natural lower limit. There is a need for comparison of genetic variation across traits and populations also for international and genetic and genomic evaluation. For this context, a common concern would be whether the model for genomic evaluation of all countries for the same trait (say protein yield for the six countries in the InterGenomics project (Jorjani et al., 2012)) should contain the same level of polygenic effect. This is however, complicated by phenotypic measurements not being available for international genetic and genomic evaluations, and instead nationally estimated breeding values (EBV) and relative breeding values (RBV) are used. These breeding values (EBV and RBV) are commonly standardized to a certain mean and variance or have a mean of zero, unlike phenotypic measurements.

### **Aim of study**

The aim of this study was therefore to look at the usefulness of using the coefficient of variation (CV) for observations based on EBV and/or RBV. The purpose was to investigate the CV within and between sire family EBV/RBV values for various traits related to health, in dairy cattle. The degree to which the CV can be useful to determine whether traits with low heritability, such as traits related to fitness, also have low genetic variance, was of special interest.

## MATERIALS AND METHODS

### Data

Data on the international estimated breeding values (IEBVs) of Brown Swiss cattle for various traits (Table 1) that was available from Interbull evaluation of April 2014 were used for the calculations.

*Table 1. List of traits used for analysis with descriptions, category and number of countries with records on the trait*

Trait	Category	Description	Nr of countries with records
dce	Calving	Direct calving ease	3
mce	Calving	Maternal calving ease	3
ang	Conformation	Angularity	3
bde	Conformation	Body depth	5
cwi	Conformation	Chest width	6
fan	Conformation	Foot angle	6
ftl	Conformation	Front teat length	6
ftp	Conformation	Front teat placement	5
fua	Conformation	Fore udder attachment	6
hde	Conformation	Heel depth/hoof height	5
ocs	Conformation	Overall conformation score	6
ofl	Conformation	Overall feet and legs score	6
ous	Conformation	Overall udder score	6
ran	Conformation	Rump angle	6
rls	Conformation	Rear leg set	6
rtp	Conformation	Rear teat placement	5
ruh	Conformation	Rear udder height	6
ruw	Conformation	Rear udder width	6
rwi	Conformation	Rump width	4
sta	Conformation	Stature	6
ude	Conformation	Udder depth	6
usu	Conformation	Udder support	6
cc1	Fertility	Lactating cow's ability to conceive (1)	4
cc2	Fertility	Lactating cow's ability to conceive (2)	5
crc	Fertility	Lactating cow's ability to recycle after calving	5
hco	Fertility	Maiden heifer's ability to conceive	3
int	Fertility	Calving-conception interval	3
dlo	Longevity	Direct longevity	6
fat	Production	Milk fat	6
mil	Production	Milk yield	6
pro	Production	Milk protein	6
mas	Udder health	Clinical mastitis	6
scs	Udder health	Milk somatic cell	6
msp	Workability	Milking speed	4

Each dataset consisted of animal id, breed, trait, country, IEBV, reliability of IEBV, parent average IEBV and parent average reliability. The datasets consisted of 177 country-trait combinations and the pedigree consisted of 230,930 animals. Records were used for bulls born from 1981 onwards according to Interbull's data editing rules (Interbull Code of Practice,

<http://www.interbull.org/ib/codeofpractice>, accessed 2014-05-11). Only sires born from 1976 onwards, with at least 5 sons were included in the estimations of within-family variances to avoid bias due to very small families. Full sib families were not considered because there were not enough large full sib families. The IEBV for different countries may vary in size according to the practice in that country. The value reported to Interbull can be the relative breeding value (RBV) and is calculated as follows:

$RBV = \left(\frac{EBVi - a}{b}\right) * c + d$ , where  $a$  = mean EBV,  $b$  = standard deviation of EBV,  $c$  = standard deviation of RBV and  $d$  = mean RBV, where  $c$  and  $d$  are set by the individual countries. Some countries set  $d=100$ , which gives a mean value for EBVs of 100; others use zero, and consequently get a mean value around zero (Fig. 1). Because the interpretation of CV values from EBV and RBV are problematic, the countries were separated based on the mean value of IEBV.

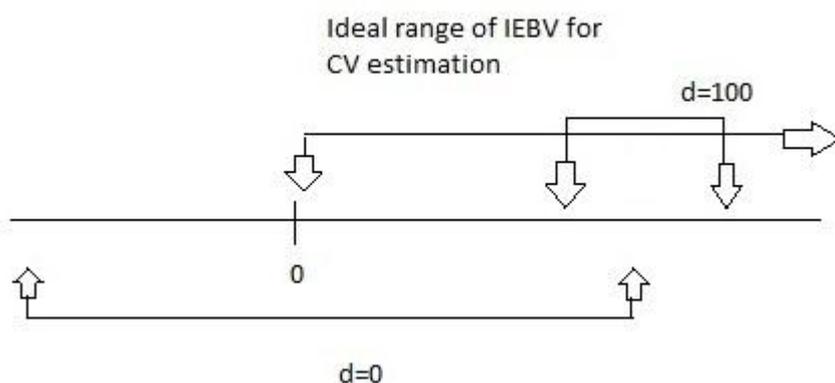


Figure 1. Ranges for IEBV depends on the size of  $d$ . Choosing  $d$  with value 0 leads to a mean for IEBV of 0. Choosing  $d=100$  standardizes the values of IEBV and shortens the range. Neither is ideal for coefficient of variance (CV) estimation.

## Statistical analysis

All statistical analyses were performed in SAS 9.3 (SAS Institute Inc., 2011). The coefficient of variation (CV) for the IEBVs of sons of each sire was estimated using PROC MEANS with IEBV as the variable, weighted by reliability, and grouped by sire. Absolute values for CV were used for further analysis for the traits with mean IEBV around 0, because it was the size of the CV, not the sign that was of interest. The CVs were then grouped by the birth year (1976-2000) of the sire (separate values for each country), weighted by number of sons for each sire, and the average for each year was calculated. PROC MEANS was again used to calculate the mean CV for each birth year regardless of country and then the mean value of CV for each trait was calculated.

Population CVs for each trait was estimated based on IEBVs for all animals in the dataset (born 1981-2005) for each respective trait, weighted by reliability and grouped by birth year and country. Mean CV for each birth year across countries was estimated for each trait, and then the mean of these values were taken as the single CV for each trait.

Where traits had mean IEBV of both 0 and 100 from different countries, PROC CORR was used to test for correlations between these two CVs for each trait.

Further, the above analyses were done while keeping traits separated by country, in order to get a CV for each trait for each country and year within country.

PROC GLM with Tukey's t-test was used to test for differences between country mean CV and for mean CV per trait category.

Traits within the same category (e.g. fertility, calving) were grouped and the mean CV for each type of trait was estimated to investigate whether there were differences between the different categories of traits and not simply on traits by themselves (both across and within countries).

Heritability estimates were extracted from the values reported from each country to the Interbull Centre. The heritability estimate for each country-trait combination was paired with the respective country-trait CV and the correlations between these were estimated using PROC CORR to investigate if there was a relationship between them.

## RESULTS

### Coefficients of variation for all traits

Values for CV for each trait were similar when based on within sire-family variances (Appendix 1 and 2) and population variances (results not shown). All CVs based on sire families were lower than population values and the difference between them was very similar across traits (mean difference=0.91). Therefore, only sire-family estimates are presented here. Coefficients of variation, averaged across countries, for all traits for both types of mean IEBV are presented in Table 2 along with the number of countries with records for each trait. Within traits, there was no significant correlation between CVs for mean IEBV=100 and mean IEBV=0 ( $r=0.20$ ,  $p$ -value=0.26). Calving, longevity and udder health traits appear to have similar CV estimates when mean IEBV=100, whereas conformation traits have a much larger range. The largest CV under mean IEBV=100 was found for *ftl* (front teat length) and *ude* (udder depth), both conformation traits. The lowest CV was found for *hco* (maiden heifer's ability to conceive), a fertility trait. When mean IEBV=0, the highest CV was found for *ftp* (front teat placement) and *ran* (rump angle), both conformation traits, and the lowest CV was found for the two calving traits (*dce* and *mce*).

Table 2. Coefficients of variation (CV) for all traits across countries, separated based on mean value of international estimated breeding values (IEBV)

Trait	Type	CV when mean IEBV=100	Nr of countries	CV when mean IEBV=0	Nr of countries
dce	Calving	5.02	2	12.50	1
mce	Calving	4.68	2	14.99	1
ang	Conformation	NA	0	165.61	3
bde	Conformation	6.01	3	358.44	2
cwi	Conformation	6.05	3	210.85	3
fan	Conformation	6.09	3	263.03	3
ftl	Conformation	7.21	3	433.99	3
ftp	Conformation	5.80	2	4,118.93	3
fua	Conformation	3.70	3	370.35	3
hde	Conformation	5.51	3	185.31	2
ocs	Conformation	4.66	3	146.51	3
ofl	Conformation	5.38	3	105.97	3
ous	Conformation	4.96	3	160.37	3
ran	Conformation	7.46	3	1,008.70	3
rls	Conformation	6.49	3	248.81	3
rtp	Conformation	5.64	3	422.32	2
ruh	Conformation	5.22	3	203.46	3
ruw	Conformation	4.99	3	349.04	3
rwi	Conformation	5.27	1	450.09	3
sta	Conformation	6.22	3	267.04	3
ude	Conformation	7.13	3	320.73	3
usu	Conformation	5.09	3	319.82	3
cc1	Fertility	3.91	2	98.49	2
cc2	Fertility	3.94	3	137.77	2
crc	Fertility	5.24	3	636.12	2
hco	Fertility	3.51	1	588.37	2
int	Fertility	5.18	2	292.94	1
dlo	Longevity	5.19	4	241.77	2
fat	Production	4.18	1	206.58	5
mil	Production	4.11	1	172.64	5
pro	Production	3.71	1	156.89	5
mas	Udder health	5.12	5	326.43	1
scs	Udder health	5.16	5	382.48	1
msh	Workability	7.10	4	NA	0

Dce= direct calving ease, mce=maternal calving ease, an=angularity, bde=body depth, cwi=chest width, fan=foot angle, ftl=front teat length, ftp=front teat placement, fua=fore udder attachment, hde=heel depth/hoof height, ocs=overall conformation score, ofl=overall feet and leg score, ous=overall udder score, ran=rump angle, rls=rear leg set, rtp=rear teat placement, ruh=rear udder height, ruw=rear udder width, rwi=rump width, sta=stature, ude=udder depth, usu=udder support, cc1=lactating cow's ability to conceive (1), cc2=lactating cow's ability to conceive (2), crc=lactating cow's ability to recycle after calving, hco=maiden heifer's ability to conceive, int=calving-conception, dlo=direct longevity, fat=milk fat, mil=milk, pro= milk protein, mas=clinical mastitis, scs=milk somatic cell, msh=milking speed.

Mean CVs for all countries are presented in Table 3. Coefficients of variation for all Country-trait combinations can be found in Appendix 1 (mean IEBV=100) and 2 (mean IEBV=0).

Table 3. Mean coefficient of variation (CV) for all countries across traits, depending on trait mean. Countries with different letters after CV are statistically different at  $p < 0.05$  according to Tukey's test

Country	CV when mean IEBV=100		CV when mean IEBV=0		Total nr of traits for each country
	IEBV=100	Nr of traits	IEBV=0	Nr of traits	
CHE	4.97 <sup>a</sup>	9	313.09 <sup>e</sup>	23	32
DEA	4.86 <sup>a</sup>	30	132.80 <sup>e</sup>	3	33
FRA	NA	0	252.58 <sup>e</sup>	30	30
ITA	5.62 <sup>b</sup>	24	215.00 <sup>e</sup>	3	27
SVN	6.38 <sup>c</sup>	25	NA	0	25
USA	3.15 <sup>†d</sup>	2	739.60 <sup>e</sup>	28	30

CHE=Switzerland, DEA=Germany-Austria, FRA=France, ITA=Italy, SVN=Slovenia, USA=United States of America.

†=Mean IEBV was not 100 for udder health traits, but CV was following the same trends.

When mean IEBV=100, SVN and ITA had the highest CVs and USA had the smallest CV. When mean IEBV=0, USA had a much higher CV than other countries (a couple of traits had very high CVs compared to others), with CHE having the second biggest CV and DEA having the smallest CV, although no Country CV was significantly different to other countries for this type of mean IEBV.

### Trait categories

Coefficients of variation (CV) for the different categories of traits are displayed in Table 4.

Table 4. Mean Coefficient of variation (CV) for each type of trait. Letter following CV indicates statistical difference according to Tukey's t-test, identical letter indicates no significant difference (within type of mean IEBV)

Type	Nr of traits within class	CV when mean IEBV=100	CV when mean IEBV=0
Calving	2	4.85 <sup>ab</sup>	13.72 <sup>f</sup>
Conformation	19	5.73 <sup>c</sup>	516.07 <sup>f</sup>
Fertility	5	4.36 <sup>bd</sup>	355.36 <sup>f</sup>
Longevity*	1	5.19 <sup>ac</sup>	241.77 <sup>f</sup>
Production	3	4.00 <sup>d</sup>	178.70 <sup>f</sup>
Udder health	2	5.14 <sup>a</sup>	354.45 <sup>f</sup>
Workability*	1	7.10 <sup>e</sup>	NA

\*Only one trait in this category, thus not a mean value.

When mean IEBV=100, workability traits had a significantly higher CV than other trait categories. Conformation traits and longevity had the second highest CV and were not significantly different from each other. Fertility and production traits had the smallest CV. There was no significant correlation (correlation=0.09) between number of traits within category and CV.

When mean IEBV=0, the highest CV was found for conformation traits, with fertility and udder health as second largest, and calving traits had the lowest CV, but none of these differences were statistically significant.

When the trait categories were grouped by countries (Table 5 and 6), the ranking changed according to country, but generally, for traits with mean IEBV=100, workability, udder health and conformation traits had the highest CV and fertility, longevity and production (only one country) had the lowest CVs. When traits with mean IEBV=0 were considered conformation traits had the highest CV, and for countries with more than one trait category, production traits had the lowest CV.

*Table 5. Mean coefficient of variation (CV) per trait category within country when mean IEBV=100. Categories with the same lowercase letter are not significantly different within country. Capital letters indicates whether there are differences between countries within a category (same letter means no significant difference)*

Category\Country	CHE	DEA	FRA	ITA	SVN	USA <sup>Δ</sup>
Calving	5.37 <sup>aA</sup>	4.33 <sup>dcB</sup>				
Conformation		4.86 <sup>dfC</sup>		5.66 <sup>hiD</sup>	6.75 <sup>IE</sup>	
Fertility	4.15 <sup>bfF</sup>	4.39 <sup>efF</sup>		4.95 <sup>kgG</sup>		
Longevity	3.76 <sup>bhH</sup>	5.49 <sup>fgI</sup>		5.06 <sup>ijkI</sup>	6.46 <sup>lJ</sup>	
Production					4.00 <sup>m</sup>	
Udder health	5.40 <sup>akK</sup>	6.11 <sup>glL</sup>		6.25 <sup>hlL</sup>	4.79 <sup>mmM</sup>	3.15 <sup>N</sup>
Workability	7.03 <sup>coO</sup>	5.24 <sup>dgP</sup>		6.10 <sup>hjOP</sup>	10.01 <sup>nQ</sup>	

Δ=No estimates of difference within country due to only one category. CHE=Switzerland, DEA=Germany-Austria, FRA=France, ITA=Italy, SVN=Slovenia, USA=United States of America.

For mean IEBV=100, within countries workability traits were highest, although for DEA it was not significantly different from udder health, conformation and calving traits, and for ITA it was not significantly different from conformation or udder health traits. SVN was the only country with production traits with mean IEBV=100 and these had the smallest CV along with udder health traits. Within trait categories, only conformation traits had significant differences between all countries with measures for these traits. CHE and DEA did not differ significantly for fertility traits, and DEA and ITA did not differ significantly for longevity, udder health and workability traits. CHE and ITA did not differ significantly for workability traits either.

*Table 6. Mean coefficient of variation (CV) per trait category within country when mean IEBV=0. No significant differences were found between categories within countries or within categories between countries*

Category\Country	CHE	DEA	FRA	ITA	SVN	USA
Calving						13.72
Conformation	323.54		288.21			1,010.64
Fertility <sup>†</sup>			149.27			521.24
Longevity			244.00			239.54
Production	243.39	132.80	87.71	215.0		214.61
Udder health			354.45			
Workability						

CHE=Switzerland, DEA=Germany-Austria, FRA=France, ITA=Italy, SVN=Slovenia, USA=United States of America.

†=country differences within fertility approaches significance with p-value=0.059

For mean IEBV=0, no differences were found between trait categories within countries or within categories between countries, although within fertility, differences between countries approaches significance (p-value=0.059).

## Year trends

In general there was an increase in CV across years regardless of trait, but when sire families were considered there was a drop in the year 2000 (the last year considered) (Fig. 2). This was only the case when traits with mean IEBV=100 were considered. No trends were seen for traits with mean IEBV=0.

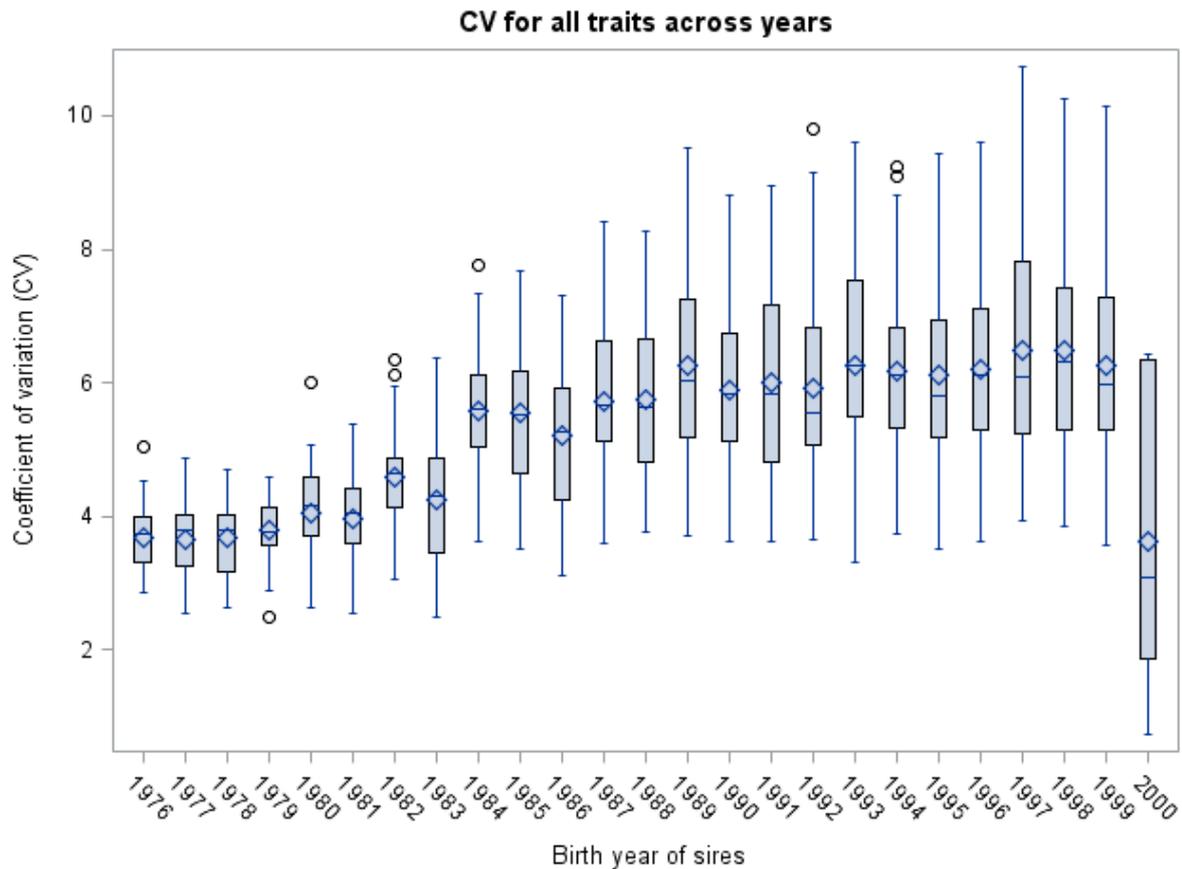


Figure 2. The coefficient of variation (CV) for all traits across birth year of the sire when sire families were considered and mean IEBV=100.

When traits were considered individually (mean IEBV=100), some traits had clear upwards trends across the birth years of sires, while others had no clear trend. For example, cc2, tended to have an upwards trend, but a decrease was seen from 1994 onwards (Fig. 3), dlo had a relatively flat trend (Fig. 4) and mas had a weak upwards trend (Fig. 5).

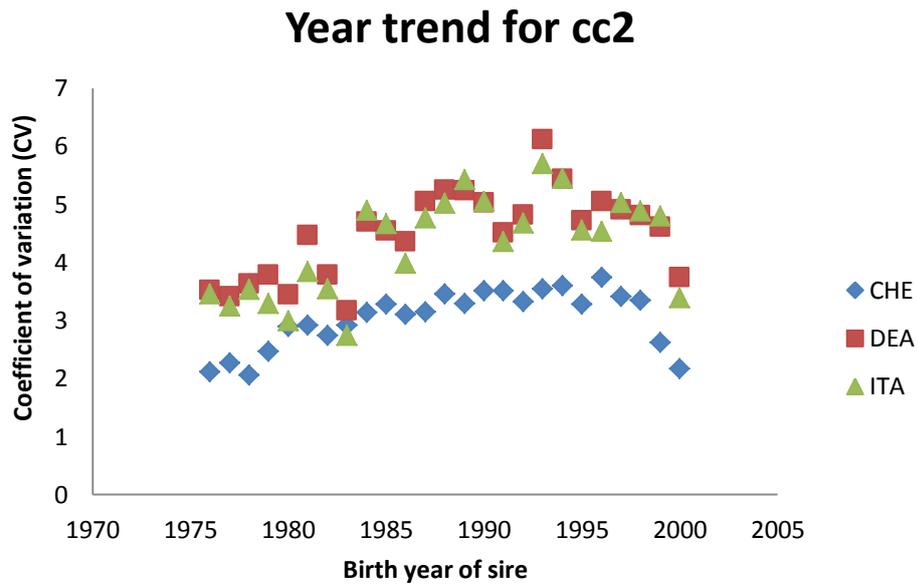


Figure 3. Trend in coefficient of variation (CV) across the birth year of sires for lactating cow's ability to conceive 2 (cc2), a fertility trait. CHE=Switzerland, DEA=Germany-Austria, ITA=Italy.

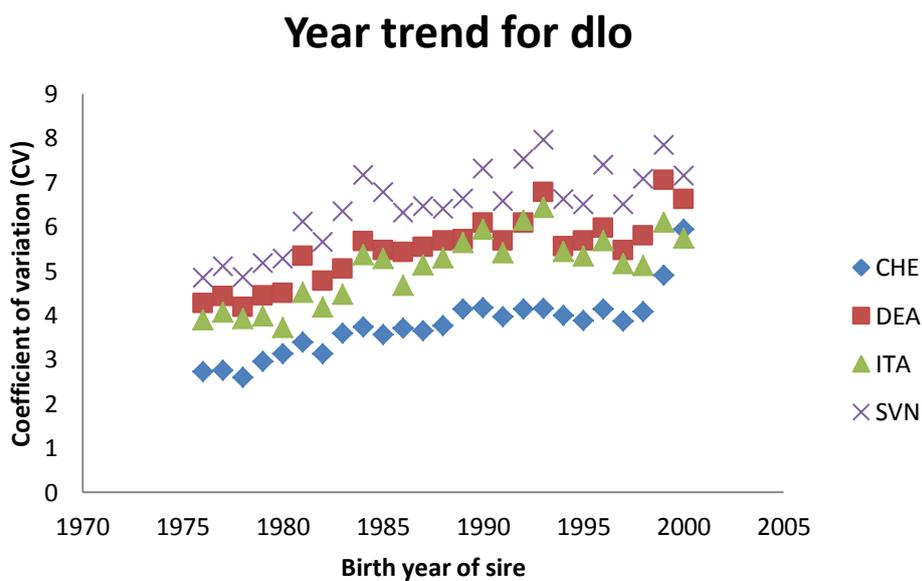


Figure 4. Trend in coefficient of variation (CV) across the birth year of sires for direct longevity (dlo), a longevity trait. CHE=Switzerland, DEA=Germany-Austria, ITA=Italy, SVN=Slovenia.

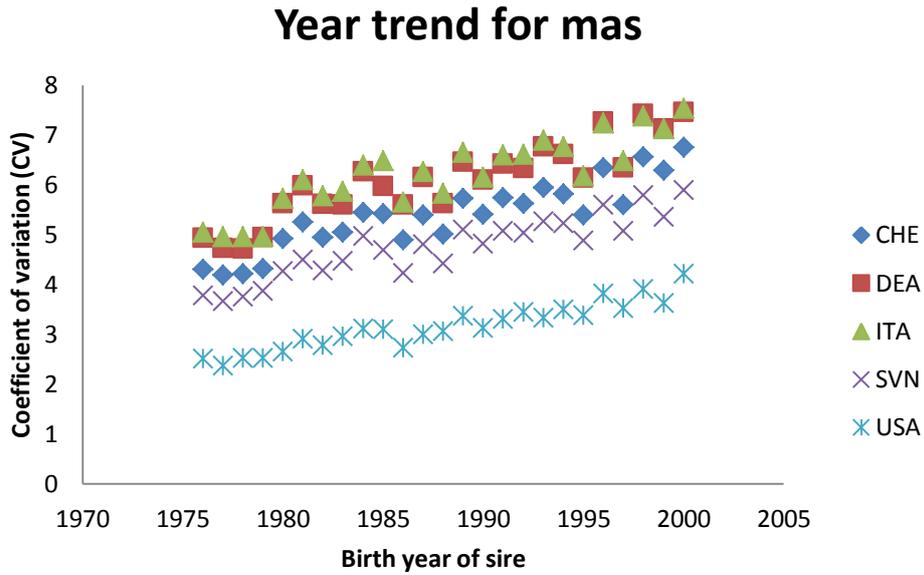


Figure 5. Trend for coefficient of variation (CV) across the birth year of sire for clinical mastitis (mas), a udder health trait. CHE=Switzerland, DEA=Germany-Austria, ITA=Italy, SVN=Slovenia, USA=United States of America.

Fertility, longevity and production traits generally had a flat trend, with no real increase across years (Fig. 6). Workability traits have a relatively clear upwards trend and udder health traits have a weak upwards trend. For some of the conformation traits there were clear upwards trends while other traits were very flat or had no real trend. Calving traits showed no clear trend across years.

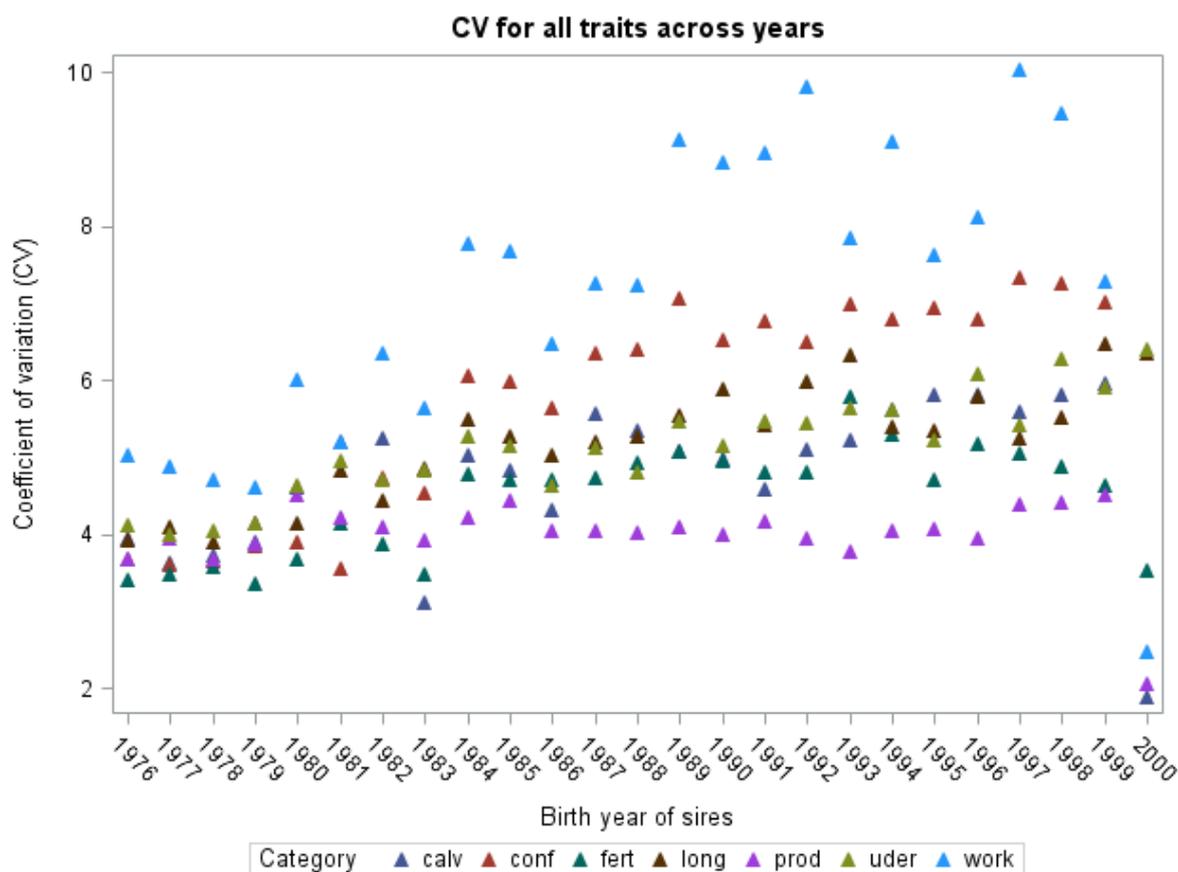


Figure 6. Trends for coefficient of variation (CV) the various trait categories across the birth year of the sires. Calv=calving, conf=conformation, fert=fertility, long=longevity, prod=production, uder=udder health, work=workability.

### Coefficients of variation (CV) and heritability

Correlations between CVs and heritability estimates were 0.12 (p-value=0.25) and 0.02 (p-value=0.82) for traits with mean IEBV of 100 and 0 respectively. Neither was significantly different from zero. Correlations were also estimated per country (Table 7). The only significant correlation (positive) between CV and heritability estimates was found for DEA for traits with mean IEBV=100.

Table 7. Correlations between coefficients of variation (CV) and heritability estimates within countries. P-values in parentheses

Country	Mean IEBV=100	Mean IEBV=0
CHE	0.30 (0.44)	-0.12 (0.59)
DEA	0.45 (0.01)*	0.80 (0.41)
FRA	NA <sup>b</sup>	0.30 (0.10)
ITA	0.35 (0.09)	-0.92 (0.25)
SVN	-0.18 (0.38)	NA <sup>b</sup>
USA	NA <sup>a</sup>	0.13 (0.50)

\*= significant at p=0.01, a=only two traits available, so no estimate of correlation calculated, b=no traits with this mean available for this country. CHE=Switzerland, DEA= Germany-Austria, FRA=France, ITA=Italy, SVN=Slovenia, USA=United States of America

There is no clear trend in the correlations between CV and heritability estimates across countries, with some countries having positive correlations and others having negative correlations, although the majority were positive.

## **DISCUSSION**

Within sire-family coefficients of variation (CV) were lower than population CVs for all traits. On trait level (regardless of country and birth year of sire), there were no correlation for traits between mean IEBV=100 and mean IEBV=0. There were differences between countries in overall mean CV across traits, with SVN having the highest and USA having the lowest CV (mean IEBV=100). There were also differences between some trait categories, with fertility and production traits having the lowest CV. Within country, CV for various categories differed according to country. Generally, there was an increase in CV for traits across the birth year of sires, although this was not the case for all traits. No significant correlation was found between the CV of traits and heritability estimates, except for Germany-Austria (DEA) when countries were evaluated separately.

### **Validity of coefficients of variation with different means**

It is stated in literature that coefficients of variation (CV) are only meaningful when traits contain real zeroes (Abdi, 2010). The data used in this study have two different types of means; one where the mean IEBV is close to 100 and one where mean IEBV is close to zero, thus ranging on both sides of zero. Therefore, it is likely that traits with mean IEBV close to zero do not have meaningful CVs and therefore interpretation of CV is difficult. The very large CVs found for this type of mean and the lack of differences between traits also supports this conclusion.

As mentioned, when the mean is around 100, the trait, or in this case IEBV, have been standardized, changing the variance of the sample (see Fig. 1). Thus, the calculated CV is different from the real CV. However, it may be possible to still compare these traits with each other, and draw some conclusions, but perhaps not with traits that are not standardized in this way. Therefore, the further discussion will look only at the traits with mean IEBV around 100.

### **Coefficients of variation for all traits**

Irrespective of the validity of the concept of CV for RBV, sire families had lower CVs than the population for all traits. This makes sense, because they are estimates of within-family variances, which are expected to be smaller than the variance for the whole population. This is due to removing family effects, and thus variance due to common environment (Hill, 2013).

The differences in mean CV for countries may be due to several factors such as differences in breeding stock, total number of available animals for breeding, size of country, infrastructure, importance put on various traits and their definition, data included and evaluation procedures etc. In terms of trait definitions, especially conformation traits vary between countries (Schaeffer et al., 1996). Depending on the country, which traits are prioritized as focus of selection depends on whether only traits with high economic value are considered important or if more traits are included and often coincides with the economy of the country/industry (Mark, 2004). For example, for Holstein cattle, in 2005, of the countries in this study, France put the most emphasis on health (25%), closely followed by the US Net Merit (20%) (Miglior et al., 2005). For production traits, the German and Swiss selection indices placed the least emphasis of the ones under study, on protein yield, while USA had the largest emphasis on fat yield (Miglior et al., 2005). In addition, the emphasis on female fertility was largest in France (Miglior et al., 2005). For most countries, calving traits will have been one of the last to be included in the selection

index (Mark, 2004). Genotype x environment interaction could also affect the variance of traits because the expression of the trait may be environment dependent (Mark, 2004, Tsiokos et al., 2009), and this may be more significant for some traits than others. Grazing countries and countries that use seasonal calving often have very different conditions compared to intensive production systems where cows are kept indoors and calve year round (Zwald et al., 2001). This may not affect the genetic variation however, but may affect the expression of traits. However, it has been shown that for production, health and fertility traits, genetic analyses are not majorly affected by environments (Windig et al., 2005).

In addition, some countries that are very large (e.g. USA) may have several different environments within the country and some of these may be more similar to environments in other countries (Zwald et al., 2001). Management practices may also vary, for instance, in 2001, the USA had 4,8 million cows in 42,865 herds whereas Germany had around 3,5 million cows in 63,643 herds and Switzerland 50,472 cows in 2,736 herds (Zwald et al., 2001), and Slovenia had an average herd size in 2004-2008 of 39.5 cows (range 3-236) (Bakucs et al., 2013). Consequently, average herd sizes differ between these countries, and therefore management likely differs as well. In addition, Slovenia only entered the EU in May 2004, whereas France was one of the founding countries, and thus the development of the farm industries are very different (Bakucs et al., 2013). Market conditions and policies have influenced development in France and likely in other EU countries involved from the start, whereas in Slovenia, the communist collectivization failed, and thus small-scale farming continued (Bakucs et al., 2013). It is possible that the size of farms or countries will affect the strength of selection, and that smaller farms have a less intense selection because there are fewer animal to choose from, and may need to find replacements from other farms as well, thus large variation between individuals exist (assuming the population is not inbred). This may help explain why the CVs for Slovenia and Italy are larger than for USA for example, but is likely not the only factor. Alternatively, a small population may mean that each sire has more offspring, and thus within-family variances are likely higher than for sires with fewer offspring.

Across-country comparisons are however difficult, because even breeding values that are standardized to have the same mean, may have been standardized to have different standard deviations, and thus countries may appear to differ in the genetic variance when this is simply due to a standardization practice.

### **Trait categories**

The concept of CV is probably more problematic for traits that do not have a continuous distribution, such as some conformation, calving and fertility traits. When categories of traits were considered, it is probably not useful to evaluate longevity and workability against other categories as these categories only consisted of one trait and thus is the trait mean rather than the mean of the category. However, it is still interesting to see how it compares to other categories. If these are excluded, conformation traits have the highest CV and production and fertility have the lowest, although fertility was not significantly different from calving. As mentioned, calving was likely one of the last categories of trait to have been included in selection indices for countries (Mark, 2004), and this is also likely the case for some fertility traits. However, production traits were likely the first to be included. Therefore, the history of selection for these traits is likely not the

explanation for the low CV of calving and fertility traits. Low CV represents small differences within a sire-family and this may be expected for traits under strong selection, such as production traits, because the aim is for offspring to inherit the ‘good’ genes of its parents and thus, selection of mates may be more careful than for instance for conformation traits which may have less emphasis than production. However, Hill and Mulder (2010) reported that morphological traits (here conformation traits) usually have smaller CV than traits related to reproduction. It is interesting to see that in this setting production traits have lower CV than other traits considered to have low variation such as calving traits (due to size of heritability estimates), which is in agreement with using proper scaling to estimate variances (i.e. CV) (Houle, 1998, Kruuk et al., 2000, Barton and Keightley, 2002). It may be important to keep in mind that there were more conformation traits (n=19) than other categories (n=1-5), and this may have made it more variable as some traits will likely be much higher and some will likely be much lower than for some other categories (as can be seen in Table 2). However, the correlation between number of traits in category and mean CV is low (0.09) and not significant. If conformation traits had been further divided into subcategories, the results may have been different, but it may be difficult to decide which trait would then belong in which subcategory.

The differences between CV for the different trait categories may partly be due to how the traits are measured, e.g. whether they are continuous, such as milk yield or rely on a scale or classes such as most fertility and calving traits. On the other hand, the use of CV should eliminate the effect of different scales between traits (Abdi, 2010), and this is therefore probably not the reason for the differences observed.

As mentioned, across-country evaluations may be difficult to validate, the ranking of the trait categories were similar also when countries were separated, which give reasons to suggest that there is a consistency in the genetic variation of trait categories also across countries.

### **Year trends**

The results least affected by the possible improper use of CV is the year trend of CV for individual country-traits (because across trait and country comparisons are not involved). There was a general increase in CV across the birth year of sires. The drop in CV in the last year (2000) could be explained by sires born in this year having fewer sons than sires born earlier, and thus the variation between the sons of these sires are likely to be smaller than if these sires had many sons. There were also fewer observations in the last year, and not all traits had sires with at least 5 sons born in this year.

For individual traits, random drops in CV in any given year, e.g. 1983 (Fig. 6), could possibly be due to a change in the trait definition for that trait (Mark, 2004). The differences between traits in degree to which CV increases could partly be due to the emphasis put on these traits as some traits have been under selection for a long time (i.e. production traits), whereas others have only recently been included in selection indices (Mark, 2004). It is expected that all else being equal, genetic variance decreases for trait that is under the focus of selection (Houle, 1998), however, one explanation is that when more traits are included in the selection index, selection on certain traits are relaxed, allowing more variable animals to be produced. In a study by Makino and Iwata (1989) they showed that the size of CV of birth weight (in humans) was dependent on

the proportion of infants born with a low body weight, where the birth of a larger number of small infants led to increases in CV and vice versa. It is possible that this is also the case for the traits in this study, where for instance an increase in good workability scores or udder health led to increases in CV for these traits across the birth year of sires. The lack of increase in CV for some of the other categories of traits may be, by this logic, due to good/bad values for these traits already existing, and thus an increase in CV is not seen. In the context of these traits, production traits have been under selection for a long time, and therefore, most animals under evaluation have relatively high breeding values for these traits, and thus, the variability between sons of sires is unlikely to change much across years. Another possible explanation is that methods of measurements have likely improved in accuracy over the years, and thus more variation can be captured between animals. Additionally, the generation of new variance simply differs between traits (Houle, 1998).

### **Coefficients of variation and heritability**

Ignoring the conceptual difficulties of using CV on RBV, there were no significant correlations between CV for country-trait combinations and heritability estimates, when all countries were considered. This is contradictory to the hypothesis that traits with high heritability estimates have higher variance than traits with low heritability and in agreement with findings by others (Barton and Keightley, 2002, Philipsson, 1981, Shook, 1989). However, within countries, most correlations, although not significant, were positive, and thus there may be some relationship between heritability and size of genetic variance, and this relationship may have been obscured by the standardization of RBVs. On the other hand, Hill and Mulder (2010) reported that there is usually a negative correlation between heritability estimates and CV. Therefore, it is even more interesting to note that the only significant correlation in this study (Germany-Austria, DEA) was positive. When looking more closely at the specific results for DEA (appendices), it may be worth noting that the only traits not measured with mean IEBV of 100, were production traits. This was also the case for Italy (ITA), and ITA is approaching significance ( $p < 0.10$ ). Additionally, the only country with production traits in this category was Slovenia (SVN), and here a negative correlation was found (although not significant). Taking into consideration that production traits generally have moderate to high heritability estimates, and were here estimated as one of the categories with the lowest CV, this may help to explain why the correlation is negative for SVN, but does not wholly explain why only DEA has a significant correlation. It is also interesting to note that, CHE, which had no significant correlation (and not approaching significance), only had 9 traits for this type of IEBV mean, compared to 24-30 for other countries (except USA), and that DEA had the most traits (30). Thus, it is possible that there were not sufficient numbers of traits to find any significant correlations. However, SVN which had 25 traits was not even close to approaching significance, so this is likely not the only explanation.

It is also important to keep in mind that the CV, as calculated here, captures the variation of breeding values within sire-families, whereas the heritability estimates reflect the genetic variation of the population as a whole. In addition, the standardization the RBVs may further complicate the relationship with the heritability estimates.

## **Conclusion**

The aim of this study was to see if the use of the coefficient of variation (CV) to quantify the genetic variation of several traits in dairy cattle could lead to interpretable results regarding the claim that traits of low heritability have low genetic variance. The use of the coefficient of variation could potentially allow for a different evaluation of genetic variance for traits in dairy cattle. It was seen that a larger variation could be found for health traits in proportion to production traits than what would be expected based on the heritability. However, due to the nature of the standardization of the trait values by changing the d-value, CV may not be as appropriate for the traits under study when using RBV as would have been ideal. It would have been better to use actual mean values rather than mean of breeding values (if available), but overall, the use of the CV to investigate variance in traits may be a good approach when different traits need to be compared. The lack of correlation found between heritability estimates and CV supports the suggestions by other researchers that heritability does not give a fair estimate of the genetic variance. The CV may have more of an informative use, and can say something about the variability of a trait, and could potentially also be used when evaluating sires, as the CV for sire families may say something about how likely the offspring is to resemble the sire and how important mate choice may be for a particular sire. However, based on the results in this study, it is not appropriate to use CV for breeding values, especially when they have been standardised. When using CV to evaluate genetic variation it would be recommended that actual mean of trait values are used.

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## APPENDICES

### Appendix 1

*All Country-trait coefficients of variation (CV) when mean IEBV=100.*

Country	Trait	CV
CHE	cc1	4.06
	cc2	3.03
	crc	5.35
	dce	5.69
	dlo	3.76
	mas	5.38
	mce	5.04
	msp	7.03
	scs	5.42
DEA	bde	4.94
	cc1	3.76
	cc2	4.49
	crc	5.61
	cwi	4.41
	dce	4.35
	dlo	5.49
	fan	4.35
	ftl	5.49
	ftp	5.21
	fua	2.69
	hco	3.51
	hde	3.98
	int	4.55
	mas	6.09
	mce	4.31
	msp	5.24
	ocs	5.41
	ofl	4.01
	ous	4.84
	ran	5.56
	rls	4.85
	rtp	4.70
	ruh	5.23
	ruw	5.14
	rwi	5.27
scs	6.12	
sta	5.80	
ude	5.72	
usu	4.79	
ITA	bde	6.23
	cc2	4.31

	crc	4.76
	cwi	5.58
	dlo	5.06
	fan	5.78
	ftl	7.20
	fua	4.46
	hde	4.96
	int	5.80
	mas	6.23
	msp	6.10
	ocs	3.73
	ofl	4.71
	ous	4.99
	ran	7.13
	rls	6.66
	rtp	5.68
	ruh	4.75
	ruw	4.96
	scs	6.27
	sta	6.65
	ude	7.33
	usu	5.49
SVN	bde	6.86
	cwi	8.16
	dlo	6.46
	fan	8.13
	fat	4.18
	ftl	8.93
	ftp	6.39
	fua	3.94
	hde	7.59
	mas	4.75
	mil	4.11
	msp	10.01
	ocs	4.85
	ofl	7.42
	ous	5.05
	pro	3.71
	ran	9.68
	rls	7.95
	rtp	6.55
	ruh	5.67
	ruw	4.86
	scs	4.83
	sta	6.20
	ude	8.35

	usu	4.99
USA	mas	3.15
	scs	3.15

## Appendix 2

*All Country-trait coefficients of variation (CV) when mean IEBV=0.*

Country	Trait	CV
CHE	ang	87.72
	bde	631.12
	cwi	355.90
	fan	320.15
	fat	166.05
	ftl	123.28
	ftp	281.71
	fua	525.57
	hde	179.35
	mil	245.94
	ocs	181.84
	ofl	153.94
	ous	119.19
	pro	318.20
	ran	367.01
	rls	196.10
	rtp	617.57
	ruh	302.15
	ruw	713.31
	rwi	159.36
sta	241.61	
ude	312.59	
usu	601.41	
DEA	fat	108.33
	mil	165.57
	pro	124.51
FRA	ang	221.14
	bde	139.76
	cc1	110.63
	cc2	130.09
	crc	203.62
	cwi	143.80
	dlo	244.00
	fan	353.59
	fat	86.73
	ftl	837.00
	ftp	270.98
	fua	373.50

	hco	152.90
	hde	191.27
	mas	326.43
	mil	125.07
	ocs	199.36
	ofl	84.27
	ous	242.76
	pro	51.34
	ran	204.39
	rls	344.16
	rtp	227.07
	ruh	137.52
	ruw	92.40
	rwi	704.44
	scs	382.48
	sta	411.08
	ude	382.56
	usu	203.19
ITA	fat	297.88
	mil	177.89
	pro	169.23
USA	ang	187.97
	cc1	86.36
	cc2	145.44
	crc	1068.62
	cwi	132.85
	dce	12.50
	dlo	239.54
	fan	115.34
	fat	373.92
	ftl	341.70
	ftp	11804.08
	fua	211.97
	hco	1023.84
	int	292.94
	mce	14.99
	mil	148.72
	ocs	58.33
	ofl	79.69
	ous	119.15
	pro	121.20
	ran	2454.70
	rls	206.16
	ruh	170.71
	ruw	241.41
	rwi	486.45

sta	148.43
ude	267.03
usu	154.85

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